



MS RCE  
PATENT  
0020-5146P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Yoshinori SHIMIZU et al. Group: 2879  
Application No.: 10/609,503 Examiner: Joseph L. Williams  
Filed: July 1, 2003 Conf.: 1654  
For: LIGHT EMITTING DEVICE WITH BLUE LIGHT LED AND PHOSPHOR COMPONENTS

**LETTER REQUESTING INITIALED PTO 1449 FORM**

**MS RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 21, 2005

Sir:

In reviewing the above-captioned application file, the undersigned has noted that even though acknowledgement was received for the PTO Form 1449 filed on July 1, 2003, the Examiner did not initial all the references. No reason was given as to why the references (indicated in highlight) did not get initialed.

The Patent and Trademark Office therefore is requested to return the initialed form to the undersigned as soon as possible.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
ANDREW D. MEIKLE, Reg. No. 32,868

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Attachment: Page 4 of PTO-1449 filed on July 1, 2003

Form PTO-1449

ATTY DOCKET NO.  
0020-5146PAPPLICATION NO.  
Rule 53(b)  
Div. of  
09/458,024INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

APPLICANT  
Yoshinori SHIMIZU et al.FILING DATE  
July 1, 2003GROUP  
2879

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JW	US 4,727,283		1988-02-23	van Kemenade et al			
JW	US 4,298,820		1981-11-03	Bongers et al.			
JW	US 3,699,478		1972-10-17	Pinnow et al.			
JW	US 5,798,537		1998-08-25	Nitta			
JW	US 5,202,777		1993-04-13	Sluzky et al.			
JW	US 3,819,974		1974-06-25	Stevenson et al.			
JW	US 5,847,507		1998-12-08	Butterworth et al.			
JW	US 3,691,482		1972-09-12	Pinnow et al.			
	US						

## FOREIGN PATENT DOCUMENTS

	Office	DOCUMENT NUMBER	Kind	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
								YES	NO
	JP	01179471	A	1989-07-17	JAPAN			ABS	
	JP	5043913	C1	1975-04-21	JAPAN				
	JP	554898	A	1980-01-14	JAPAN				
	JP	09027642	A	1997-01-28	JAPAN			ABS	
	JP	08007614	A	1996-01-12	JAPAN			ABS	
	JP	07176794	A	1995-07-14	JAPAN			ABS	
	JP	07099345	A	1995-04-11	JAPAN			ABS	
	JP	05152609	A	1993-06-18	JAPAN			ABS	
	JP	6220237	A	1987-01-28	JAPAN				

OTHER DOCUMENTS (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)

JW	Glasse et al., Applied Physics Letters, Vol. 11, No. 2, pp. 53-54 (1967) no month
JW	Hoffman, Journal of Res, pp. 89-91 (1977) no month
JW	Nakamura, SPIE, Vol. 3002, pp. 26-35 (1997) no month
JW	"SIMENS SMT-TOPLED fur die Oberflachenmontage" Frank Mollmer et al. Siemens Components, 29 (1991) Hfet. 4. no month

EXAMINER

Joseph William

DATE CONSIDERED

12/6/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.S.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.